

Legal Entity Beneficial Ownership Certification Form



Federal regulation requires certain financial institutions to obtain, verify, and record information about the beneficial owners of legal entity customers, which will help to fight financial crime.

What this means to you

This form must be completed by the person opening a new account or applying for a loan (including loan renewals and modifications) on behalf of a legal entity. For the purpose of this form, a legal entity includes a corporation, limited liability company, or other entity that is created by a filing of a public document with a Secretary of State or similar office, a general partnership, and any similar business entity formed in the United States or foreign country. Legal entity does not include sole proprietorships, unincorporated associations, or individuals opening accounts on their own behalf.

What information do I have to provide?

- (i) The name, address, date of birth and Social Security number (or passport number for non-US persons) for:
 - a) Each individual, if any, who owns, directly or indirectly, 20 percent or more of the equity interests of the legal entity (e.g., each individual that owns 20 percent or more of the shares of a corporation); and
 - b) An individual with significant responsibility for managing the legal entity (e.g., a Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President or Treasurer).
- (ii) Original or copies of identification documents, (e.g. driver's license or passport).

Non-Governmental Organization (NGO)

NGO's such as charities, not-for-profits, and churches are only subject to providing an individual with significant control. Exclude Section C of this form and complete all other Sections.

What if I can't provide the required information?

If you are unable or unwilling to provide and certify the requested information we may decline your account request. If, in our sole discretion, we decide to allow a reasonable time for you to provide and certify the requested information, and you do not do so, we may, with no notice to you, close the account.

Section A – Account Information

Person certifying information on behalf of a Legal Entity must provide the following information:

Name of Person Certifying	_____
Title of Person Certifying	_____
Name of Legal Entity	_____
Full Physical Address of Legal Entity	_____

Section B – Ownership Information (Complete all the questions below.)

- 1. Does a Trust own 20% or more of the Legal Entity?
 Yes – Use trustee information to complete section C. **No**
- 2. Does any individual, directly or indirectly, through any contract, arrangement, understanding, relationship, or otherwise, own 20% or more of the equity interests of the Legal Entity listed above?
 Yes **No** - Section C is not applicable.



Section C – Beneficial Owner(s) Information

Complete the following information for each individual, if any, who directly or indirectly, through any contract, arrangement, understanding, relationship, or otherwise, owns 20% or more of the equity interests of the Legal Entity listed above. Please provide a copy of primary identification for each individual listed below.

Legal First Name	Legal Last Name	Date of Birth mm/dd/yyyy	Residential Address (No P.O. Boxes)	City	St, Zip	Tax ID # (Passport # for Non-US Persons)	% Own
1							
2							
3							
4							

Section D – Individual with Controlling Interest

The following information is for one individual with significant responsibility for managing the legal entity customer listed above (e.g. Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President, Vice President or Treasurer).

If appropriate, an individual listed under Section C above may also be listed in this Section.

Legal First Name	Legal Last Name	Title	Date of Birth mm/dd/yyyy	Residential Address (No P.O. Boxes)	City	St, Zip	Tax ID# (Passport # for Non-US Persons)

Section E – Certification

I, _____ (name of natural person listed in Section A), hereby certify, to the best of my knowledge, that the information provided above is complete and correct.

By signing below I agree to notify the financial institution within 10 calendar days of any change in Beneficial Ownership or the Controlling Individual provided on this certification.

Signature: _____ **Date:** _____

If you have questions or require more information, contact your INTRUST Representative.

Verification Documentation – For internal use only

(Information should correspond with respective beneficial owner or controlling interest individual)

Related to Account Number(s) # _____
(If required by procedure)

ID Type	ID #	Issue Date mm/dd/yyyy	Expiration Date mm/dd/yyyy	State or Country of Issuance	Employee ID#
Owner 1					
Owner 2					
Owner 3					
Owner 4					
Control					